

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

ANGELA J. BROKAW.

Plaintiff,

V.

MICHAEL J. ASTRUE,
Commissioner of Social Security,

Defendant.

NO. CV-11-3062-JLQ

**MEMORANDUM OPINION AND
ORDER RE: MOTIONS FOR
SUMMARY JUDGMENT**

BEFORE THE COURT are Cross-Motions for Summary Judgment. (ECF Nos. 18, 23). Plaintiff is represented by attorney James Tree. Defendant is represented by Assistant United States Attorney Pamela DeRusha and Special Assistant United States Attorney Jessica Milano. Plaintiff appeals the final decision of the Commissioner of the Social Security Administration (“Commissioner”) which denied her application for Supplemental Security Income (“SSI”), after a hearing before an administrative law judge (“ALJ”). For the reasons set forth below, the Commissioner’s decision is affirmed.

I. FACTS AND PROCEDURAL HISTORY

The facts are contained in the medical records, administrative transcript (ECF No. 10)(“Tr.”), and the ALJ’s decision, and are only briefly summarized here.

Plaintiff was born in 1970 and was 37 years old on the alleged disability onset date of March 17, 2007. (Tr. 69). She has a high school education and one year of college. (Tr. 157). Her past work experience includes employment as an office assistant, radio operator (for the U.S. Army), and transportation coordinator. (Tr. 153-54, 160-170). Plaintiff was last gainfully employed in 2004. (Tr. 150).

1 On March 14, 2007, Plaintiff filed an application for SSI, alleging an onset
2 date of January 1, 2004. (Tr. 140-42). The alleged date of onset was amended at
3 the time of the administrative hearing to March 14, 2007. Plaintiff asserts that she
4 is disabled due to a combination of impairments including irritable bowel
5 syndrome, fibromyalgia, anxiety, depression, diabetes, and degenerative disc
6 disease. (Tr. 152).

7 The Commissioner denied Plaintiff's claim initially and on reconsideration.
8 Plaintiff requested a hearing which took place on April 21, 2010. (Tr. 36-68). On
9 June 4, 2010, the ALJ issued a decision finding Plaintiff not disabled. (Tr. 16-28).
10 Plaintiff's administrative appeal of the ALJ's decision was denied by the Appeals
11 Council (Tr. 13-15), making the ALJ's ruling the "final decision" of the
12 Commissioner as that term is defined by 42 U.S.C. § 405(g). On June 1, 2011,
13 Plaintiff timely filed the present action challenging the Commissioner's decision.
14 (ECF No. 4).

15 II. JURISDICTION

16 Jurisdiction to review the Commissioner's decision exists pursuant to 42
17 U.S.C. §§ 405(g) and 1383(c)(3).

18 III. SEQUENTIAL EVALUATION PROCESS

19 The Social Security Act defines "disability" as the "inability to engage in
20 any substantial gainful activity by reason of any medically determinable physical
21 or mental impairment which can be expected to result in death or which has lasted
22 or can be expected to last for a continuous period of not less than twelve months."
23 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Act also provides that a claimant
24 shall be determined to be under a disability only if the impairments are of such
25 severity that the claimant is not only unable to do her previous work but cannot,
26 considering claimant's age, education and work experiences, engage in any other
27 substantial gainful work which exists in the national economy. 42 U.S.C. §§
28 423(d)(2)(A), 1382c(a)(3)(B).

1 The Commissioner has established a five-step sequential evaluation process
2 for determining whether a person is disabled. 20 C.F.R. §§ 404.1520, 416.920;
3 *Bowen v. Yuckert*, 482 U.S. 137, 140-42 (1987).

4 Step 1: Is the claimant engaged in substantial gainful activities? 20 C.F.R.
5 §§ 404.1520(b), 416.920(b). If she is, benefits are denied. If she is not, the
6 decision maker proceeds to Step 2.

7 Step 2: Does the claimant have a medically severe impairment or
8 combination of impairments? 20 C.F.R. §§ 404.1520®, 416.920®. If the claimant
9 does not have a severe impairment or combination of impairments, the disability
10 claim is denied. If the impairment is severe, the evaluation proceeds to the Step 3.

11 Step 3: Does the claimant's impairment meet or equal one of the listed
12 impairments acknowledged by the Commissioner to be so severe as to preclude
13 substantial gainful activity? 20 C.F.R. §§ 404.1520(d), 416.920(d); 20 C.F.R. Pt.
14 404 Subpt. P App. 1. If the impairment meets or equals one of the listed
15 impairments, the claimant is conclusively presumed to be disabled. If the
16 impairment is not one conclusively presumed to be disabling, the evaluation
17 proceeds to Step 4.

18 Step 4: Does the impairment prevent the claimant from performing work she
19 has performed in the past? 20 C.F.R. §§ 404.1520(e), 416.920(e). If the claimant
20 is able to perform her previous work, she is not disabled. If the claimant cannot
21 perform this work, the inquiry proceeds to the Fifth and final Step.

22 Step 5: Is the claimant able to perform other work in the national economy in
23 view of her age, education and work experience? 20 C.F.R. §§ 404.1520(f),
24 416.920(f).

25 The initial burden of proof rests upon the Plaintiff to establish a *prima facie*
26 case of entitlement to disability benefits. *Rhinehart v. Finch*, 438 F.2d 920, 921
27 (9th Cir. 1971). The initial burden is met once a claimant establishes that a
28 physical or mental impairment prevents her from engaging in her previous

1 occupation. The burden then shifts to the Commissioner to show (1) that the
 2 claimant can perform other substantial gainful activity and (2) that a "significant
 3 number of jobs exist in the national economy" which claimant can perform. *Kail v.*
 4 *Heckler*, 722 F.2d 1496, 1498 (9th Cir. 1984).

5 **IV. STANDARD OF REVIEW**

6 "The [Commissioner's] determination that a claimant is not disabled will be
 7 upheld if the findings of fact are supported by substantial evidence and the
 8 [Commissioner] applied the proper legal standards." *Delgado v. Heckler*, 722 F.2d
 9 570, 572 (9th Cir. 1983) (citing 42 U.S.C. § 405(g)). Substantial evidence is more
 10 than a mere scintilla, *Sorenson v. Weinberger*, 514 F.2d 1112, 1119 n.10 (9th Cir.
 11 1975), but less than a preponderance. *McAllister v. Sullivan*, 888 F.2d 599, 601-
 12 602 (9th Cir. 1989). "It means such relevant evidence as a reasonable mind might
 13 accept as adequate to support a conclusion." *Richardson v. Perales*, 402 U.S. 389,
 14 401 (1971) (citations omitted). "[S]uch inferences and conclusions as the
 15 [Commissioner] may reasonably draw from the evidence" will also be upheld.
 16 *Mark v. Celebrezze*, 348 F.2d 289, 293 (9th Cir. 1965). On review, the court
 17 considers the record as a whole, not just the evidence supporting the decision of the
 18 Commissioner. *Weetman v. Sullivan*, 877 F.2d 20, 22 (9th Cir. 1989). This
 19 court may set aside a denial of benefits only if the basis for denial is not supported
 20 by substantial evidence or if it is based on legal error. *Thomas v. Barnhart*, 278
 21 F.3d 947, 954 (9th Cir. 2002). It is the role of the trier of fact, not this court, to
 22 resolve conflicts in the evidence. *Richardson*, 402 U.S. at 400. If the evidence
 23 supports more than one rational interpretation, the court must uphold the decision
 24 of the ALJ. *Thomas*, 278 F.3d at 954 (9th Cir. 2002).

25 26 27 **V. COMMISSIONER'S FINDINGS**

28 The ALJ found at Step 1 that Plaintiff had not engaged in substantial gainful

1 activity since the alleged onset of disability in March 2007. (Tr. 18). At Step 2, the
2 ALJ found the medical evidence established that since the alleged date of onset,
3 Plaintiff had the following severe impairments: irritable bowel syndrome,
4 fibromyalgia, mild lumber degenerative disc disease, diabetes mellitus, right carpal
5 tunnel syndrome, depressive disorder with features of anxiety vs. bipolar disorder,
6 and borderline personality disorder. (Tr. 18). However, at Step 3, the ALJ
7 determined that the Plaintiff's impairments or combination of impairments do not
8 meet or medically equal one of the listed impairments in 20 CFR Pt. 404 Subpt. P
9 App 1.

10 At Step 4, the ALJ determined Plaintiff has the residual functional capacity
11 ("RFC") to perform light work except for restrictions from: performing tasks that
12 require more than occasional climbing of ramps and stairs, balancing, stooping,
13 kneeling, crouching or crawling; and tasks that require climbing of ladders, ropes,
14 and scaffolds. She also found Plaintiff should be provided ready access to a
15 restroom and should be limited to no more than low semi-skilled work with an
16 SVP (Specific Vocational Preparation) of no more than three (3). (Tr. 21). The
17 DOT lists SVP ratings for each described occupation. SVP is defined "as the
18 amount of lapsed time required by a typical worker to learn the techniques, acquire
19 the information, and develop the facility needed for average performance in a
20 specific job-worker situation." DOT, app. C, 1991 WL 688702. A job with a three
21 SVP rating means that a typical worker will require "[o] ver 1 month up to and
22 including 3 months" to learn the skills necessary to perform the job. DOT, app. C,
23 1991 WL 688702.

24 In her Step 4 finding, the ALJ found Plaintiff's statement that she is
25 incapable of all work activity not credible. The ALJ found her subjective
26 complaints and alleged limitations only "partially credible." In making this
27 finding, the ALJ found the claimed severity of her condition inconsistent with her
28 own testimony and the medical evidence. To support this adverse credibility

1 finding, the ALJ also noted Plaintiff's relatively infrequent trips to the doctor,
2 marked improvement of her conditions with conservative modalities, and
3 significant gaps in earnings history raising a question of whether the claimant
4 stopped working for reasons other than an alleged disabling impairment, such as a
5 lack of motivation to work. (Tr. 22-23).

6 At Step 4, based on the testimony of a vocational expert, the ALJ found that
7 Plaintiff was unable to perform the demands of her past relevant work as a general
8 office assistant, dispatcher, and maintenance scheduler. (Tr. 26-27). However, at
9 Step 5, the ALJ determined, that based upon the vocational expert testimony and
10 considering Plaintiff's age, education, work experience, and RFC, jobs exist in
11 significant numbers in the national economy that the Plaintiff can perform, such as
12 small products assembler, cannery worker, and cleaner/housekeeping. (Tr. 27).
13 Accordingly, the ALJ concluded that Plaintiff was not disabled as defined by the
14 Social Security Act from March 14, 2007, through the date of her decision, June 4,
15 2010.

16 VI. ISSUES

17 Plaintiff alleges the ALJ erred in 1) rejecting the opinions of Plaintiff's
18 medical providers; 2) failing to provide clear and convincing reasons for rejecting
19 Plaintiff's subjective complaints; and 3) failing to meet the Step 5 burden by
20 relying upon an incomplete hypothetical.

21 VII. DISCUSSION

22 A. Medical Opinions

23 Plaintiff contends the ALJ improperly rejected the opinions of Plaintiff's
24 medical providers. (ECF No. 19 at 11-16). Plaintiff contends the ALJ failed to
25 adequately consider the opinions of her primary providers, PA Tuning, Dr.
26 Thompson, Dr. Sager, and therapists Mr. Garner and Mr. Pomerinke.

27 "The ALJ must consider all medical opinion evidence." *Tommasetti v.*
28 *Astrue*, 533 F.3d 1035, 1041 (9th Cir.2008); 20 C.F.R. § 404.1527(b); SSR 96-5p,

1 1996 WL 374183, at *2 (July 2, 1996). In weighing medical source opinions in
 2 Social Security cases, the Ninth Circuit distinguishes among three types of
 3 physicians: (1) treating physicians, who actually treat the claimant; (2) examining
 4 physicians, who examine but do not treat the claimant; and (3) non-examining
 5 physicians, who neither treat nor examine the claimant. *Lester v. Chater*, 81 F.3d
 6 821, 830 (9th Cir.1995). Generally, more weight is given to the opinion of a
 7 treating physician than to the opinions of non-treating physicians. *Id.* Where a
 8 treating physician's opinion is uncontradicted, it may be rejected only for "clear
 9 and convincing" reasons, and where it is contradicted, it may be rejected only for
 10 "specific and legitimate reasons" supported by substantial evidence in the record.
 11 *Lester*, 81 F.3d at 830. An ALJ need not accept the opinion of a treating physician,
 12 "if that opinion is brief, conclusory, and inadequately supported by clinical
 13 findings" or "by the record as a whole." *Batson v. Comm'r of Soc. Sec. Admin.*,
 14 359 F.3d 1190, 1195 (9th Cir. 2004); *see also Thomas v. Barnhart*, 278 F.3d 947,
 15 957 (9th Cir. 2002); *Tonapetyan v. Halter*, 242 F.3d 1144, 1149 (9th Cir. 2001).

16 The opinion of a non-examining physician is not itself substantial evidence
 17 that justifies the rejection of the opinion of either a treating physician or an
 18 examining physician. *Id.* at 831. "The opinions of non-treating or non-examining
 19 physicians may also serve as substantial evidence when the opinions are consistent
 20 with independent clinical findings or other evidence in the record." *Thomas*, 278
 21 F.3d at 957 (2002). Factors that an ALJ may consider when evaluating any medical
 22 opinion include "the amount of relevant evidence that supports the opinion and the
 23 quality of the explanation provided; the consistency of the medical opinion with
 24 the record as a whole; [and] the specialty of the physician providing the opinion."
 25 *Orn v. Astrue*, 495 F.3d 625, 631 (9th Cir. 2007). Where conflict exists between
 26 the opinion of a treating physician and an examining physician, the ALJ may not
 27 reject the opinion of the treating physician without setting forth specific, legitimate
 28 reasons supported by substantial evidence in the record. *Id.* at 632. "The ALJ can

1 ‘meet this burden by setting out a detailed and thorough summary of the facts and
 2 conflicting clinical evidence, stating his interpretation thereof, and making
 3 findings.’ ” *Thomas*, 278 F.3d at 957 (*quoting Magallanes v. Bowen*, 881 F.2d 747,
 4 751 (9th Cir. 1989)).

5 One specific, legitimate reason for rejecting a treating physician's opinion is
 6 where that opinion is premised on the claimant's subjective complaints, which the
 7 ALJ has properly discounted. *See Fair v. Bowen*, 885 F.2d 597, 605 (9th Cir.
 8 1989). Where the treating physician's opinion is controverted by a non-treating
 9 physician's opinion based on independent clinical findings, the non-treating
 10 physician's opinion may be substantial evidence. *See Thomas*, 278 F.3d at 957
 11 (*citing Morgan v. Comm'r Soc. Sec. Admin.*, 169 F.3d 595, 600 (9th Cir.1999)). “
 12 ‘When there is conflicting medical evidence, the [ALJ] must determine credibility
 13 and resolve the conflict.’ ” *Id.* (*quoting Matney*, 981 F.2d at 1019).

14 Opinions of non-treating examining or consulting physicians alone may
 15 constitute substantial evidence supporting an ALJ's decision when they are
 16 consistent with other evidence in the record. *See Magallanes*, 881 F.2d at 752
 17 (“[T]he reports of consultative physicians ... may serve as substantial evidence.”);
 18 *Thomas*, 278 F.3d at 957 (“The opinions of nontreating or non-examining
 19 physicians may ... serve as substantial evidence when the opinions are consistent
 20 with independent clinical findings or other evidence in the record.”); see also 20
 21 C.F.R. § 404.1527(e) (stating that the opinions of non-examining physicians
 22 constitute medical evidence).

23 Physicians' assistants and therapists are medical professionals, but they are
 24 not “acceptable medical sources” (20 C.F.R. § 404.1513(a)) under the social
 25 security framework. They are considered “other sources,” 20 C.F.R. §
 26 404.1513(d)(1). The distinction between “other sources” and an “acceptable
 27 medical source” is important because only an “acceptable medical source” may be
 28 considered a “treating source.” See 20 C.F.R. §§ 404.1502, 416.902. Plaintiff's

1 positions fail to recognize this important distinction. (ECF No. 19 at 12). The
 2 regulations provide that, “[i]n addition to evidence from the acceptable medical
 3 sources” evidence from other sources should be used to “show the severity of [a
 4 claimant’s] impairment(s) and how it affects [the claimant’s] ability to work.” 20
 5 CFR § 404.1513(d). An ALJ may discount testimony from these “other sources” if
 6 the ALJ “gives reasons germane to each witness for doing so.” *Molina v. Astrue*
 7 674 F.3d 1104, 1111 (9th Cir. 2012).

8 **1. Physical Impairment**

9 Plaintiff contends the ALJ "summarily dismiss[ed] the opinion" of Plaintiff's
 10 physician's assistant, David Tuning, PA-C, whom she saw for her general health
 11 care needs for over a decade. Tuning did not qualify as a medically acceptable
 12 treating source because he was a physician's assistant, see 20 C.F.R. §
 13 404.1513(d)(1). Plaintiff contends, however, that because he was Plaintiff's
 14 primary care provider, his opinion -- specifically that she was restricted to
 15 sedentary work-- should have been accorded significant weight because he was in a
 16 better position than any other source in the record to provide an accurate
 17 assessment of Plaintiff's functional limitations. (ECF No. 25 at 3).

18 In 2007, 2009 and 2010. PA Tuning completed a Washington State
 19 Department of Social and Health Services physical evaluation form. (Tr. 238-243;
 20 498-507). Mr. Tuning diagnosed Plaintiff with irritable bowel syndrome, carpal
 21 tunnel, balance problems, fibromyalgia and back pain. In each evaluation, Mr.
 22 Tuning opined that Plaintiff would be limited to sedentary work. Sedentary work
 23 “means the ability to lift 10 pounds maximum and frequently lift and/or carry such
 24 articles as files and small tools. A sedentary job may require sitting, walking and
 25 standing for brief periods.” (Tr. 240). Mr. Tuning indicated Plaintiff would be
 26 able to participate in pre-employment activities such as job search or employment
 27 classes. (Tr. 241).

28 Notably, the ALJ did not "summarily dismiss" Mr. Tuning's opinions simply

1 because he qualifies as an "other source." The ALJ accorded Mr. Tuning's opinion
2 that Plaintiff was limited to sedentary work less weight because his "physical
3 examinations revealed no abnormalities or physical limitations." (Tr. 25). This is
4 a germane reason for discounting this opinion, supported by the record. By
5 "abnormalties," the ALJ was not ignoring the diagnosed conditions, but obviously
6 was referring to sensation or anatomic abnormalities. Both Mr. Tuning's treatment
7 notes and ordered radiological studies support this conclusion. For example, Mr.
8 Tuning noted "I can't find point tenderness to that would correspond to
9 Fibromyalgia" (Tr. 463); radiological testing of the hip showed mild degenerative
10 changes but "normal" bony alignment; "unremarkable" soft tissues. (Tr. 473); and
11 imaging of the spine showed a "normal appearing thoracic spine" (Tr. 317).
12 Treatment notes documented the Plaintiff working with her hands a lot, doing
13 housecleaning, and working with animals. (Tr. 455). Mr. Tuning linked the status
14 of Plaintiff's physical condition, not with physical abnormalities, but with her
15 mental status. (Tr. 241). The ALJ did not err in her assessment of Mr. Tuning's
16 opinion.

17 **2. Mental Impairment**

18 Plaintiff also contends the ALJ failed to give adequate reasons for rejecting
19 the limitations assessed by Plaintiff's therapists at Central Washington
20 Comprehensive Mental Health, Rob Garner and Arland Pomerinke. On February
21 16, 2007, Mr. Garner assessed the Plaintiff's cognitive abilities to be moderately
22 deteriorated and her social/personal skills to be markedly deteriorated. As
23 Defendant notes, Mr. Garner completed this assessment in February, 2007, *before*
24 he began treating Plaintiff in March, 2007. Accordingly, it appears that Mr. Garner
25 assessment was merely recording Plaintiff's self-report in finding these marked
26 limitations. Because the ALJ properly discounted Plaintiff's credibility as to the
27 extent of her limitations, as explained below, the ALJ properly accorded Mr.
28 Garner's opinions less weight because they rely on Plaintiff's self-report and are not

1 otherwise supported by his independent observations. *See Tommasetti v. Astrue*,
2 533 F.3d 1035, 1041 (9th Cir. 2008). The ALJ provided a germane reason for
3 according less weight to Mr. Garner's early assessment of Plaintiff's limitations.

4 Plaintiff likewise contends the ALJ "summarily rejected" the opinions of
5 Mr. Pomerinke, specifically his opinion on the check-box form that Plaintiff had
6 "marked" social limitations including the ability to respond appropriately and
7 tolerate the pressure and expectations of a normal work setting. However, the ALJ
8 did not summarily dismiss Mr. Pomerinke's assessment. Instead, the ALJ stated
9 that she accorded his opinion little weight because his assessment was 1) based
10 upon Plaintiff's self-report; and 2) inconsistent with his treatment notes, which
11 indicated Plaintiff "thrive[d] in treatment and had functional improvement with
12 mental health treatment. (Tr. 26, 485). Mr. Pomerinke's notes indicate that
13 Plaintiff never missed a session and was "making progress with confronting her
14 irrational fear of social contact." The ALJ did not commit reversible legal error in
15 according less weight to Mr. Pomerinke's assessment of Plaintiff's level of
16 function.

17 The ALJ did not ignore or wholly reject the assessments of these "other
18 sources" as Plaintiff contends. The ALJ's opinion evidences she carefully
19 considered each of them and provided germane reasons, supported by the record,
20 for according them less weight. It was not error for the ALJ to conclude that the
21 evidence showed that Plaintiff's overall level of function was better than that
22 documented by these providers, on check box forms, at a particular time. Certain
23 of these times clearly involved complex situational stressors, such as the
24 relinquishment of her parental rights to her son. But as noted by the ALJ, the
25 overall record demonstrates the Plaintiff regularly attended mental health therapy
26 which improved her overall functioning. Plaintiff's RFC limitations, including that
27 she have no more than occasional contact with the general public, noted by the
28 ALJ are accurately reflected by the weight of the evidence and the assessments

1 made by Dr. Gentile, Dr. Ho, and Dr. Rullman.

2 **B. Credibility**

3 Plaintiff also contends the ALJ improperly rejected Plaintiff's own
4 subjective complaints without giving "clear and convincing reasons" and without
5 identifying what testimony was not credible and what evidence undermined which
6 allegations. (ECF No. 19 at 16).

7 "To determine whether a claimant's testimony regarding subjective pain or
8 symptoms is credible, an ALJ must engage in a two-step analysis." *Lingenfelter v.*
9 *Astrue*, 504 F.3d 1028, 1035–36 (9th Cir. 2007). At step one, "the ALJ must
10 determine whether the claimant has presented objective medical evidence of an
11 underlying impairment 'which could reasonably be expected to produce the pain or
12 other symptoms alleged.'" *Id.* (*quoting Bunnell v. Sullivan*, 947 F.2d 341, 344 (9th
13 Cir.1991) (en banc)). The ALJ found that Plaintiff's medically determinable
14 impairments could reasonably be expected to produce "some" of the alleged
15 symptoms. (Tr. 22).

16 "Second, if the claimant meets this first test, and there is no evidence of
17 malingering, the ALJ can reject the claimant's testimony about the severity of her
18 symptoms only by offering specific, clear and convincing reasons for doing so."
19 *Lingenfelter*, 504 F.3d at 1036 (citation and quotation marks omitted). "In making
20 a credibility determination, the ALJ 'must specifically identify what testimony is
21 credible and what testimony undermines the claimant's complaints[.]'" "*Greger v.*
22 *Barnhart*, 464 F.3d 968, 972 (9th Cir. 2006) (citation omitted). An ALJ's
23 credibility finding must be properly supported by the record and sufficiently
24 specific to ensure a reviewing court that the ALJ did not arbitrarily reject a
25 claimant's subjective testimony. *Bunnell v. Sullivan*, 947 F.2d 341, 345–47 (9th
26 Cir. 1991). The ALJ made no finding of malingering. She found that the
27 statements concerning the intensity, persistence and limiting effects of the alleged
28 symptoms were only *partially* credible, and not credible to the extent they were

1 inconsistent with her RFC assessment. (Tr. 22).

2 In weighing credibility, the ALJ may consider factors including: the nature,
3 location, onset, duration, frequency, radiation, and intensity of any pain;
4 precipitating and aggravating factors (e.g., movement, activity, environmental
5 conditions); type, dosage, effectiveness, and adverse side effects of any pain
6 medication; treatment, other than medication, for relief of pain; functional
7 restrictions; the claimant's daily activities; and "ordinary techniques of credibility
8 evaluation." *Bunnell*, 947 F.2d at 346 (citing Social Security Ruling ("SSR")
9 88-13) FN2 (quotation marks omitted). The ALJ may consider (a) inconsistencies
10 or discrepancies in a claimant's statements; (b) inconsistencies between a claimant's
11 statements and activities; (c) exaggerated complaints; and (d) an unexplained
12 failure to seek treatment. *Thomas v. Barnhart*, 278 F.3d 947, 958-59 (9th Cir.
13 2002).

14 The ALJ did not wholly discredit Plaintiff. Indeed, in finding that Plaintiff's
15 impairments had no more than a moderate impact in her overall functioning, she
16 found Plaintiff *partially* credible. However, the ALJ discounted Plaintiff's specific
17 testimony that she is incapable of all work for four reasons: 1) record evidence of
18 activities of daily living that were not consistent with total disability; 2) relatively
19 infrequent trips to the doctor for disabling *physical* impairments and lack of follow
20 through with treatment recommendations; 3) routine and conservative treatment for
21 her mental health impairments and evidence of improvement with such
22 conservative treatment; and 4) poor work history, including significant gaps in
23 earnings prior to the alleged date of disability onset.

24 After reviewing the record, the court finds the ALJ did not arbitrarily
25 discredit Plaintiff's testimony. In this case, the ALJ summarized Plaintiff's
26 testimony and found that Plaintiff's daily living activities contradicted her
27 testimony that she was incapable of all work activity. The ALJ's reference to
28 Plaintiff's daily activity level as one of multiple credibility factors was not error. It

1 is true, as noted by Plaintiff regarding activities of daily living, that the Ninth
2 Circuit “has repeatedly asserted that the mere fact that a plaintiff has carried on
3 certain daily activities does not in any way detract from her credibility as to her
4 overall disability.” *Orn v. Astrue*, 495 F.3d 625, 639 (9th Cir.2007) (quoting
5 *Vertigan v. Halter*, 260 F.3d 1044, 1050 (9th Cir. 2001)). The Ninth Circuit
6 specified “the two grounds for using daily activities to form the basis of an adverse
7 credibility determination: (1) whether or not they contradict the claimant's other
8 testimony and (2) whether or not the activities of daily living meet “the threshold
9 for transferable work skills.” *Orn*, supra, 495 F.3d at 639 (citing Fair, supra, 885
10 F.2d at 603). The record supports that Plaintiff was able to live independently, do
11 housekeeping, drive, run weekly errands, get groceries, and attend doctor's
12 appointments. The medical records also show the Plaintiff reported house sitting
13 (Tr. 401), doing projects at home keeping her busy, and “working with her hands a
14 lot doing cleaning and housekeeping and working with animals.” (Tr. 455). There
15 is also reference to her ability to do house work and “lift a hose around the yard...”
16 (Tr. 460). Accordingly, the ALJ properly considered Plaintiff's overall level of
17 daily functioning in assessing the credibility of Plaintiff's testimony that she
18 unable to perform any work.

19 Furthermore, in assessing Plaintiff's credibility, the ALJ accurately
20 described the medical record in stating that the record showed relatively infrequent
21 trips for “*disabling physical symptoms*”; that it showed a failure to follow through
22 with treatment recommendations; and that her treatment involved conservative
23 measures with little to no use of medication. These findings are supported by the
24 record. PA Tuning's treatment records, for example, evidence that when Plaintiff
25 attended appointments she typically presented a list (“quite a list again today,
26 Tr.381) of varied physical complaints like dry and irritated skin, poor sleep,
27 allergies, pain (“from the top her head to the bottom of her feet, Tr. 463), ingrown
28 toenails, and diarrhea. As to Plaintiff's mental health impairments, although

1 Plaintiff has in fact routinely sought mental health treatment, the ALJ correctly
2 noted that the record evidences an overall *improvement* in functioning with fairly
3 conservative modalities, including therapy and limited use of medication. The ALJ
4 properly considered the inconsistencies between the record evidence, and the
5 degree of limitation testified to by Plaintiff.

6 Finally, the ALJ's consideration of the fact of Plaintiff's poor work history
7 was also not in error. *See Thomas v. Barnhart*, 278 F.3d 947, 959 (9th Cir.2002)
8 (holding that claimant's "extremely poor work history" shows that she has little
9 propensity to work and negatively affects "her credibility regarding her inability to
10 work").

11 In assessing the Plaintiff with a moderate level of social dysfunction, the
12 ALJ did not fail to account Plaintiff's testimony that she prefers to stay home and
13 that she avoids going out in public. (Tr. 22). Although the ALJ's credibility
14 assessment could have been even *more* specific given the record evidence, it is not
15 the role of the reviewing court to second-guess the ALJ's assessment of credibility
16 decision, where, as here, the ALJ offered substantial evidence to support for
17 reaching that decision. The court finds the ALJ's credibility finding was
18 *sufficiently specific* to permit the court to conclude that the ALJ did not arbitrarily
19 discredit Plaintiff's subjective testimony. Thus, there was no error.

20 **C. Step 5**

21 At Step 5, Plaintiff contends the ALJ erred by relying upon the Vocational
22 Expert's testimony because the ALJ allegedly posed an incomplete hypothetical
23 based on an inaccurate RFC assessment. (ECF No. 19 at 19). Specifically, the
24 Plaintiff contends that the hypothetical was deficient because it failed to include
25 the marked limitations noted by Mr. Garner and Mr. Pomerinke, and the sedentary
26 limitation assessed by Mr. Tuning. (ECF No. 19 at 20).

27 The hypothetical that ultimately served as the basis for the ALJ's
28 determination, i.e., the hypothetical that is predicated on the ALJ's final RFC

1 assessment, must account for all of the limitations and restrictions of the particular
2 claimant. *Bray v. Comm'r of Soc. Sec. Admin.*, 554 F.3d 1219, 1228 (9th Cir.
3 2009). “If an ALJ’s hypothetical does not reflect all of the claimant’s limitations,
4 then the expert’s testimony has no evidentiary value to support a finding that the
5 claimant can perform jobs in the national economy.” *Id.* (citation and quotation
6 marks omitted). However, the ALJ “is free to accept or reject restrictions in a
7 hypothetical question that are not supported by substantial evidence .” *Greger v.*
8 *Barnhart*, 464 F.3d 968, 973 (9th Cir.2006). Furthermore, as the Ninth Circuit has
9 observed, an ALJ may synthesize and translate assessed limitations into an RFC
10 assessment (and subsequently into a hypothetical to the vocational expert) without
11 repeating each functional limitation verbatim in the RFC assessment or
12 hypothetical. *Stubbs–Danielson v. Astrue*, 539 F.3d 1169, 1173–74 (9th Cir. 2008)
13 (holding that an ALJ’s RFC assessment that a claimant could perform simple tasks
14 adequately captured restrictions related to concentration, persistence, or pace,
15 because the assessment was consistent with the medical evidence). A claimant
16 fails to establish that a Step 5 determination is flawed by simply restating argument
17 that the ALJ improperly discounted certain evidence, when the record
18 demonstrates the evidence was properly rejected. *Stubbs–Danielson v. Astrue*, 539
19 F.3d 1169, 1175–76 (9th Cir. 2008).

20 In this case, because the court finds that the ALJ’s RFC assessment was
21 supported by substantial evidence and free of legal error, the only issue is whether
22 the hypothetical ultimately relied upon by the ALJ adequately captured that RFC
23 assessment. The hearing transcript shows that the ALJ asked the vocational expert
24 to consider an individual with an RFC to perform light work, with restrictions for
25 climbing ramps and stairs, balancing, stooping, kneeling, crouching, and being
26 precluded from crawling, climbing ladders, ropes or scaffolds. (Tr. 62). The
27 vocational expert was also advised to consider the individual limited to no more
28 than SVP: 3 level tasks, due to the effects of pain and psychological symptoms.

1 (Tr. 62). The final restrictions the ALJ informed the expert to consider were ready
2 access to a restroom and no more than occasional contact with the general public.
3 (Tr. 62). The ALJ relied upon the vocational expert's testimony in response to this
4 hypothetical to find that there were other jobs that existed in significant numbers in
5 the economy that plaintiff could perform. (Tr. 27, 63-65.) Accordingly, the ALJ
6 appropriately utilized the vocational expert testimony.

7 **VIII. CONCLUSION**

8 For the aforesaid reasons, the Commissioner's decision is affirmed.

9 **IT IS HEREBY ORDERED:**

- 10 1. Plaintiff's Motion for Summary Judgment (ECF No. 18) is **DENIED**.
11 2. Defendant's Motion for Summary Judgment (ECF No. 23) is
12 **GRANTED**.
13 3. The Clerk is directed to enter Judgment dismissing the Complaint and the
14 claims therein with prejudice.

15 **IT IS SO ORDERED.** The District Court Executive is directed to file this
16 Order, enter Judgment as directed above, and close this file.
17

18 DATED this 12th day of February, 2013.

19 s/ Justin L. Quackenbush
20 JUSTIN L. QUACKENBUSH
21 SENIOR UNITED STATES DISTRICT JUDGE
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